

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,447	04/27/2001	Timothy P. Farley	05456.105006

20786  
 KING & SPALDING  
 191 PEACHTREE STREET, N.E.  
 ATLANTA, GA 30303-1763

CONFIRMATION NO. 9081

## FORMALITIES LETTER



\*OC000000006248903\*

Date Mailed: 07/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$170.
  - \$90 for 5 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1010.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/23/2001 08:30:00 AM (EST)

01 FC:101  
 02 FC:103  
 03 FC:102  
 04 FC:103

710.00 D  
 30.00 D  
 24.00 D  
 120.00 D

DOCKETED

By: <i>NSP</i>	Date: <i>7/11/01</i>
Reviewed: <i>NSP</i>	Name/Date

*79651*  
*Customer Service Center*  
*Initial Patent Examination Division (703) 308-1202*  
*PART 1 - ATTORNEY/APPLICANT COPY*



SECTOR  
#3

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Timothy P. Farley et al.

Serial No. 09/844,447

Filed: April 27, 2001

For: Method and System for Managing  
Computer Security Information

Group Art Unit: 2131

**TRANSMITTAL OF MISSING PARTS AND FILING FEE**

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, DC 20231

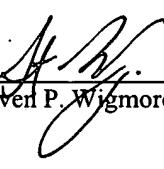
August 24, 2001

Sir:

In response to the Notice of Missing Parts mailed July 2, 2001, the undersigned attorney for applicant hereby transmits:

1. six (6) executed Declaration and Power of Attorney forms for Applicants;
2. PTO-1595 and an executed Assignment from the Applicant to Internet Security System, Inc.;
3. two checks, one in the amount of \$1,458.00 to cover the basic filing fee and the surcharge for the late filing of these parts, and one in the amount of \$40 to cover the assignment recordal fee; and
4. a duplicate copy of Notice to File Missing Parts of Application—  
Filing Date Granted.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on August 24, 2001.

  
Steven P. Wigmore, Reg. No. 40,447

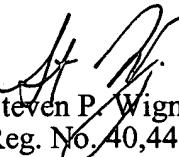
Applicants note that a preliminary amendment was filed on June 27, 2001. In this Amendment, additional independent and dependent claims were added to the application. The present application currently has the following pending claims: Claims 1-41, where Claims 1, 14, 28, 22, 26, and 31 are independent.

The filing fee is calculated as follows:

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	Fee	RATE	Fee
<b>BASIC FEE</b>				<b>\$355</b>		<b>\$710</b>
TOTAL CLAIMS	41 - 20 =	21	x9 =		x18 =	378
INDEP. CLAIMS	6 - 3 =	3	x40 =		x80 =	240
MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
LATE-FILING SURCHARGE			+ 65 =		+130 =	130
FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
			<b>TOTAL</b>		<b>TOTAL</b>	<b>\$1,458.00</b>

It is believed that the submission of these papers and this payment completes the filing of all necessary papers and it is therefore respectfully requested that the application be passed to the appropriate examining group for action on the merits.

Respectfully submitted,

  
Steven P. Wigmore  
Reg. No. 40,447

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